

Complaints Monitoring Report
Children and Families & Criminal Justice Social Work

Quarter 4 2021/22 – 1 January – 31 March 2022

Total Complaints Received and Total Complaints Closed					
NUMBER OF COMPLAINTS	Q4 2020/21	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Total number of complaints received	10	8	5	7	4
Total number of complaints closed	8	6	3	7	5
The numbers of received and closed complaints may differ because some closed complaints have been received in the previous quarters or some received complaints have not been closed within the reporting quarter.					

Complaints closed at Frontline and Investigative Stages as a percentage of all complaints closed										
NUMBER AND PERCENTAGE CLOSED	Q4 2020/21		Q1 2021/22		Q2 2021/22		Q3 2021/22		Q4 2021/22	
	number	%	number	%	number	%	number	%	number	%
Number of complaints closed - Frontline	5	62.5%	1	17%	0	0%	1	14.3%	2	40%
Number of complaints closed - Investigative	3	37.5%	5	83%	3	100%	6	85.7%	3	60%
Number of complaints closed - Escalated	0	0%	0	0%	0	0%	0	0%	0	0%

Number of Frontline Complaints upheld / partially upheld / not upheld as a percentage of complaints closed in full at each stage										
FRONTLINE	Q4 2020/21		Q1 2021/22		Q2 2021/22		Q3 2021/22		Q4 2021/22	
	number	%	number	%	number	%	number	%	number	%
Number of Frontline complaints upheld	2	40%	0	0%	N/A	N/A	0	0%	0	0%
Number of Frontline complaints partially upheld	1	20%	1	100%	N/A	N/A	0	0%	1	50%
Number of Frontline complaints not upheld	2	40%	0	0%	N/A	N/A	1	100%	1	50%
Number of Frontline complaints (Resolution)	N/A	N/A	0	0%	N/A	N/A	0	0%	0	0%

Number of Investigative Complaints upheld / partially upheld / not upheld as a percentage of complaints closed in full at each stage										
INVESTIGATIVE	Q4 2020/21		Q1 2021/22		Q2 2021/22		Q3 2021/22		Q4 2021/22	
	number	%	number	%	number	%	number	%	number	%
Number of Investigative complaints upheld	1	33.3%	1	20%	1	33%	1	16.7%	0	0%
Number of Investigative complaints partially upheld	2	66.7%	0	0%	0	0%	0	0%	3	100%
Number of Investigative complaints not upheld	0	0%	4	80%	2	67%	5	83.3%	0	0%
Number of Investigative complaints (Resolution)	N/A	N/A	0	0%	0	0%	0	0%	0	0%

Number of Escalated Complaints upheld / partially upheld / not upheld as a percentage of complaints closed in full at each stage										
ESCALATED	Q4 2020/21		Q1 2021/22		Q2 2021/22		Q3 2021/22		Q4 2021/22	
	number	%	number	%	number	%	number	%	number	%
Number of Escalated complaints upheld	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Number of Escalated complaints partially upheld	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Number of Escalated complaints not upheld	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Number of Escalated complaints (Resolution)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

The average time in working days for a full response to complaints at each stage					
RESPONSE TIME	Q4 2020/21	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Average time in working days for a full response - Frontline	4	1	N/A	11	19
Average time in working days for a full response - Investigative	18	18	27	21	40
Average time in working days for a full response - Escalated	N/A	N/A	N/A	N/A	N/A

Number and percentage of complaints at each stage which were closed in full within the set timescales of 5 and 20 working days										
MEETING TARGET TIMESCALES	Q4 2020/21		Q1 2021/22		Q2 2021/22		Q3 2021/22		Q4 2021/22	
	number	%	number	%	number	%	number	%	number	%
Number of complaints closed within 5 working days - Frontline	2	40%	1	100%	N/A	N/A	0	0%	1	50%
Number of complaints closed within 20 working days - Investigative	2	66.7%	2	40%	1	33%	3	50%	0	0%
Number of complaints closed within 20 working days - Escalated	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Number and percentage of complaints at each stage where an extension to the 5 or 20 working day timeline has been authorised										
EXTENSIONS	Q4 2020/21		Q1 2021/22		Q2 2021/22		Q3 2021/22		Q4 2021/22	
	number	%	number	%	number	%	number	%	number	%
Number of complaints with an extension – Frontline	0	0%	0	0%	N/A	N/A	0	0%	0	0%
Number of complaints with an extension – Investigative or Escalated Investigative	1	33.3%	2	40%	1	33%	0	0%	0	0%

UPHELD OR PARTIALLY UPHELD COMPLAINTS				
ID	Type of Complaint	Outcome	Responsible Officer	Action taken
101002988605	Process/Procedure	Partially Upheld	Tracy Stephen	Processes will be amended as per response letter.
101002933080	Process/Procedure	Partially Upheld	Donna Borek	Information was not shared as social worker did not record on CareFirst.

101002939603	Policy and Procedure	Partially Upheld	Tracy Stephen	Lessons Learnt Review to take place.
101002951783	Process/Procedure	Partially Upheld	Tracy Stephen	A clear summary report of our contacts and information sharing with family members and other agencies, observations, understanding of risks and needs and suggested actions will be compiled.