



ITINERANT METAL DEALERS' LICENCES GUIDANCE NOTES FOR APPLICANTS

Civic Government (Scotland) Act 1982

Disclaimer

These notes have been prepared as an outline of the licensing provisions in connection with metal dealing introduced by the Civic Government (Scotland) Act 1982. While every effort has been made to ensure accuracy, these notes are for general guidance only and do not constitute legal advice. It is the responsibility of applicants and licence holders to ensure that they comply with the provisions of the Act, any statutory instruments made thereunder, the conditions outlined in the schedule attached to your licence and any policy/ guidance notes issued by the Council.

INTRODUCTION

A licence is required for carrying on business as a Metal Dealer whether based in premises or not. .

DEFINITION

WHAT IS A METAL DEALER?

A person is a Metal Dealer if his business consists wholly or partly of buying and selling scrap, old, broken, worn-out, defaced or partly manufactured articles made wholly or partly of metal (manufacturers buying metal for the purpose of manufacturing goods or articles or disposing of surplus or waste material arising from same are not Metal Dealers).

WHAT IS AN ITINERANT METAL DEALER?

An Itinerant Metal Dealer is defined as a person who carries on a business which consists wholly or partly of buying and selling scrap, old, broken, worn-out, defaced or partly manufactured articles made wholly or partly of metal collected by means of visits from place to place and which are disposed of without being kept in a metal store or other premises.

The basic difference between a Metal Dealer and an Itinerant Metal Dealer is that the Metal Dealer operates from specific premises where metal is taken to and from and stored. The Itinerant Metal Dealer disposes of the articles and does not have a store of his own nor does he use another's store. Where one Licensing Authority grants an Itinerant Metal Dealers Licence this shall have the effect of permitting the licence holder to carry on business anywhere in Scotland. Where a person carries on business as a Metal Dealer and also as an Itinerant Metal Dealer he shall require a licence for each activity.

OBLIGATIONS

All Metal Dealers and Itinerant Metal Dealers must comply with the following:

To only pay for metal in one of the ways specified in the Civic Government (S) Act 1982. Those are by cheque or by electronic transfer of funds. It is an offence to pay for metal in any other way. The dealer must also keep a copy of any cheque or documents to evidence the electronic transfer of funds.

To keep records when acquiring metal (whether paid for or free). Records must include the description and weight of metal, date and time of acquisition, the name and address of the other party to any transaction and details as to how the address was verified, the price, the method of payment, where there was no payment the estimated value of the metal, the registration of any vehicle that delivered metal.

To keep records when disposing of metal (by any means). Records must include description and weight of metal being disposed of, the price/value if it was sold/exchanged, the name and address of the other party to any transaction and details as to how the address was verified, where there was no payment the estimated value of the metal before disposal.

To keep records of acquisition and disposal separately and to make records at the time of, or immediately after, any transaction.

To keep copies of any documents produced by other parties to verify their address.

To ensure records are kept in books with numbered pages or in suitable electronic form.

To keep separate records for each place of business.

To retain all records for a minimum period of 3 years.

Not to deal with anyone who is under 16, even if they claim to be acting for another person.

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OFFENCES

The Act creates a number of offences relating to dealing in metal e.g. purchasing or selling to a person under 16, issuing false particulars, keeping false records, a seller or purchaser giving a false name and address to the dealer.

Where a dealer is convicted of any offence one of the Court's powers is to be able to disqualify the offender from holding a licence. The Licensing Authority also has the power to suspend or revoke a licence in certain circumstances.

THE APPLICATION FORM

The application form is split into 6 sections:-

- A. Personal Details – if the applicant is an individual.
- B. Business Details – if the applicant is a company or partnership.
- C. Licence Details.
- D. Premises Details.
- E. Criminal Convictions.

F. Residence outside the UK

You must answer all of the questions as fully as you can as failure to do so may result in your application being returned to you. Your application will not be processed until you have provided all the necessary information.

A. Personal Details - (if applicant is an individual)

You require to complete this section if you are an individual person applying for a metal dealer's licence rather than a business or partnership. **NOTE: if you are completing this section you do not also require to complete section B.** It is essential that your personal details are supplied on the application form so that necessary police checks can be undertaken swiftly and so your application can be processed as quickly as possible.

B. Business Details - (if applicant is a company or partnership)

You require to complete this section if the premises/operation is run by a company or partnership rather than an individual person. Please note that when application is made for a licence by a company or partnership you must also give details of the employee who is responsible for carrying out the day to day management of the business. **NOTE: if you are completing this section you do not also require to complete section A.** It is essential that your full business and employee details are supplied on the application form so that necessary police checks can be undertaken swiftly and so your application can be processed as quickly as possible.

C. Licence Details

Grant of a Licence - If you have never held a metal dealer's licence before, or you do not currently have such a licence, or you are moving to different premises then you need to apply for the grant of a licence.

Renewal of Licence – If you currently hold a metal dealer's licence which has not expired, but is due to expire then you need to apply for a renewal of the licence.

Full Licence – A full licence is generally granted for a period of 3 years, although the Licensing Authority has the discretion to grant it for a shorter period. If you are applying for the grant of a licence you are asked questions on the form as to whether you have previously held a licence in the United Kingdom or whether you have been refused such a licence previously. Please note that it is very important that you complete these questions fully.

D. Premises Details

You require to supply the full name, postal address and telephone number of the premises to which the metal dealers licence is to relate.

If you do not trade from a premise you should state this here.

E. Criminal Convictions

This section asks whether you, or anyone named in the application, have ever been convicted of any crime or offence. Please note that this includes any fixed penalties or driving convictions.

Although you are not required to disclose any convictions which are "spent" in terms of the Rehabilitation of Offenders Act 1974, the Police may raise an objection on the basis of any spent

convictions you may have and the Licensing Authority may thereafter decide to consider these if they are determined to be relevant to your application.

If you think a conviction is spent you should seek independent legal advice. Failure to disclose convictions is a criminal offence and any applicant failing to disclose a conviction may be reported to the Procurator Fiscal with a view to prosecution.

F. RESIDENCE OUTSIDE THE UK

If you are making an application you, or anyone named in the application, must provide evidence of your criminal history:

- If you were born in the UK but have lived in any other country within the ten years prior to your application for a continuous period of twelve months or more you must provide a Criminal Record Check for all those countries for the relevant period(s)
- If you were born out with the UK you must provide a Criminal Record Check from your country of origin for the time of residence there IF it was in the last ten years, unless you left that country without reaching the criminal age of responsibility. You must also provide a Criminal Record Check from any other country in which you have resided for a continuous period of six months or more in the ten years prior to application.

In all cases, the Criminal Record Checks provided must

- Have been obtained within the six months immediately prior to submitting your application; and
- Be translated into English; and
- Be verified by the relevant UK-based Embassy or High Commission

Process for obtaining Criminal Record Checks –

<https://www.gov.uk/government/publications/criminal-records-checks-for-overseasapplicants>

Note: The certificate must be produced before the licence can be granted. Whilst it is desirable for the applicant to produce the certificate with the application, it is not a strict requirement. This is so any applicant having difficulties will not be disadvantaged by delays to processing the application. At the applicants choosing, the application can be accepted as valid without the convictions evidence but the evidence should be provided as soon as possible. In this way the convictions evidence will be a check rather than a validation. Applicants should still note, however, that the licence cannot be granted without the evidence having been produced. Therefore if the application is approaching the 9 month time limit without the evidence being produced, the application may be refused for failure to comply with administrative provisions.

If you are experiencing difficulties in obtaining a certificate then please contact the licensing team to discuss your options. It may be possible to accept alternative evidence, for example: evidence of checks having been carried out by another government body; affidavits; or character references.

In cases where it is not possible to provide any satisfactory evidence, the application will be referred to the Licensing Committee for a decision. Therefore the application may be delayed pending the outcome of a hearing.

PROVIDING YOUR TAX CHECK (FOR RENEWALS ONLY) – NEW REQUIREMENT

The rules for completing a tax check changed on 2 October 2023 in Scotland

The new rules mean if you're an individual, company or any type of partnership you must complete a tax check if you're:

- renewing a licence
- applying for the same type of licence you previously held, that stopped being valid less than a year ago
- applying for the same type of licence you already hold with another licensing authority

You will not need to complete a tax check and you should follow the [confirm your tax responsibilities guidance](#) if you have:

- never held a licence of the same type before
- had a licence of the same type that stopped being valid a year or more before making this application

What a tax check is

A tax check confirms that you're registered for tax, if necessary. It will ask questions about how you pay any tax that may be due on income you earn from your licensed trade.

You must carry out the tax check yourself. You cannot ask a tax agent or adviser to do this on your behalf.

After you complete the tax check you'll be given a **9-character code**. This is your tax check code. **You must give it to the licensing authority with your licence application — they will not be able to process your application without it.**

Tax check codes expire after 120 days, so if you make a licence application for another licence after that time, you'll need to carry out a new tax check for it.

If you're a partner making a licence application on behalf of a partnership you must complete a tax check for yourself. Your licensing authority will tell you if any other partners also need to complete a tax check.

Applying for more than one licence

You can use one tax check code for more than one licence application if all the applications are for the same type of licence (for example, they are all for taxi driver licences but with different licensing authorities).

If you're applying for different types of licence (for example, a private hire driver licence and a private hire vehicle operator licence) you must complete a tax check for each one.

Licence extension and expiry while waiting for a licence application decision

Your existing licence may be extended until a final decision whether to grant your licence application has been made (including a decision on appeal) if you are renewing licences issued in Scotland

If you do not give the licensing authority a valid tax check code your licence will expire on whichever of the following dates is the latest:

- 28 days after the licensing authority asked for your tax check code
- the date your licence expires

What you'll need

To carry out a tax check, you need:

- a Government Gateway user ID and password
- to know when you first got your licence
- the length of your most recent licence
- how you pay tax on the income you earn from your licensed trade

You can apply for your Tax Check here:

<https://www.gov.uk/guidance/complete-a-tax-check-for-a-taxi-private-hire-or-scrap-metal-licence>

General Information

You require to **sign** and **date** the declaration at the bottom of the application form. This is a very important part of the form and you should note that it is a criminal offence to make a false declaration for which you can be prosecuted and fined up to £2,500.

Once you have completed the application form you should submit it to:-

The Head of Legal and Democratic Services
The Moray Council
High Street
Elgin
IV30 1BX

Along with the appropriate fee.

If you are applying for a **grant** or **renewal** you should also send the completed Certificate of Display, once you have displayed the notice at your premises for 21 days, to the above address.

Your application will be copied to Police Scotland, the Scottish Fire and Rescue Service, Chief Environmental Health Officer and the Planning Enforcement Officer of the Moray Council for their observations. If no objection or observation is made on your application by them within 28 days, the Council will proceed to issue your licence. If an observation or objection is received, your application will be referred to the Licensing Committee of the Council where elected Members will consider the

matter. You will be given an opportunity to attend this meeting and put your case in support of your application.

Certificate of Display

If you are applying for a grant or renewal you should also send the completed Certificate of Display, once you have displayed the Notice at the premises for 21 days, to the above address. It is very important that the Display procedure is carried out correctly. You should note that failure to carry out the requirements will result in delay in your application if the Display procedures have to be repeated.

- On the day you lodge your application for the licence you must:-
 - (1) Complete the form headed "DISPLAY NOTICE"
 - (2) Display it at or near the premises so that it can be conveniently read by the public
- It must remain there for 21 days
- You should check throughout the 21 days that it has not been removed, obscured or defaced. If it has been, you should ensure that it is protected or a replacement is displayed immediately
- At the end of the 21 days you must:-
 - (1) Remove the Notice
 - (2) Complete and return the form headed "CERTIFICATE OF DISPLAY"

FEES

Please refer to the Moray Council website for the list of current fees.

QUERIES

If you have any queries please e-mail licensing@moray.gov.uk or contact us on 01343 563027.